

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q97149

Masakazu OGAWA

Appln. No.: 10/594,945

Group Art Unit: 2872

Confirmation No.: 5769

Examiner: Unassigned

Filed: September 29, 2006

For: HOLOGRAM RECORDING CARRIER AND RECORDING AND REPRODUCTION
METHOD AND APPARATUS THEREFOR

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

MAIL STOP PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

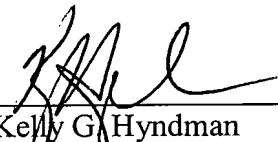
In response to the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)," for the above application, that was sent in error, Applicant respectfully submits herewith a copy of the Declaration for the above identified application properly executed by the inventors, previously filed December 12, 2006. Applicant also submits a copy of the Submission of Executed Declaration, the executed Assignment together with the PTO-1595 form, the filing receipt also submitted December 12, 2006, and a copy of the postcard from the USPTO, on September 29, 2005, which displays the proper Application Number (10/594,945).

Applicant believes the error in sending the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)," is a result of a clerical error. This error occurred due to an incorrect Application Number being applied to the filing of the Missing Parts submitted on December 12, 2006.

Applicant respectfully requests acknowledgment of the previously filed executed Declaration and Assignment, to satisfy the Missing Parts requirements. Applicant also requests the USPTO to update its records to show receipt of documents.

Applicant believes that no fee is due at this time, due to the prior submission of the payment submitted on December 12, 2006. However, the USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Kelly G. Hyndman
Registration No. 39,234

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: July 20, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/594,945	Masakazu Ogasawara	Q97149

23373
 SUGHRUE MION, PLLC
 2100 PENNSYLVANIA AVENUE, N.W.
 SUITE 800
 WASHINGTON, DC 20037

DOCKETED
 JUN 20 2007

INTERNATIONAL APPLICATION NO.	
PCT/JP05/05065	
I.A. FILING DATE	PRIORITY DATE
03/15/2005	03/29/2004

CONFIRMATION NO. 5769

371 FORMALITIES LETTER



OC000000024406116

Date Mailed: 06/19/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/29/2006
- English Translation of the IA filed on 09/29/2006
- Copy of the International Search Report filed on 09/29/2006
- Copy of IPE Report filed on 09/29/2006
- Preliminary Amendments filed on 09/29/2006
- Information Disclosure Statements filed on 09/29/2006
- Request for Immediate Examination filed on 09/29/2006
- U.S. Basic National Fees filed on 09/29/2006
- Priority Documents filed on 09/29/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/594,945	PCT/JP05/05065	Q97149

FORM PCT/DO/EO/905 (371 Formalities Notice)

COPY 40

FILING RECEIPT
PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re application of

Masakazu OGASAWARA

Appln. No.: 10/594,922

Group Art Unit: unknown

Confirmation No.: unknown

Examiner: unknown

Filed: September 29, 2006

For: HOLOGRAM RECORDING CARRIER AND RECORDING AND REPRODUCTION METHOD
AND APPARATUS THEREFOR

PAPER(S) FILED ENTITLED:

1. Submission of Executed Declaration (in duplicate) with a copy of the executed Declaration and Power of Attorney (3 pages), and a check in the amount of \$130.00
2. Copy of an executed Assignment with PTO Form 1595 and a check in the amount of \$40.00

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

DOCKET NO.: Q97149

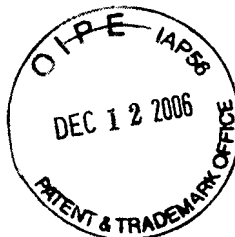
ATTORNEY/SEC: Kelly G. Hyndman/tnt

Date Filed: December 12, 2006

WASHINGTON OFFICE

23373

CUSTOMER NUMBER



28

REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER
NATIONAL STAGE OF PCT/JP2005/005065 FILED SEPTEMBER 15, 2005

Inventors: Masakazu OGASAWARA

Title: HOLOGRAM RECORDING CARRIER AND
RECORDING/REPRODUCTION METHOD AND DEVICE

Atty Doc. #: Q97149 Client: FUJIMURA PATENT BUREAU

Filing Date: September 29, 2005 # Pgs. Spec/Abst: 40/1 #Claims: 25

Dwg. Sheets: 15 Decl No Prelim Amdt Yes

IDS/Prior Art: Yes Pr Doc: No Asgmt: No Fee: \$1300.00

PCT/ISA/210, Article 11 Amendments, PCT/ISA/210

☒ Check Attached ☐ Charge to Deposit # 19-4880 Atty/Sec: DM/cma

SERIAL NO.:

CONF NO.:



10/594945
SEP 29 2006

REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER
NATIONAL STAGE OF PCT/JP2005/005065 FILED SEPTEMBER 15, 2005

Inventors: Masakazu OGASAWARA

10/594945

Title: HOLOGRAM RECORDING CARRIER AND
RECORDING/REPRODUCTION METHOD AND DEVICE

Atty Doc. #: Q97149 Client: FUJIMURA PATENT BUREAU

Filing Date: September 29, 2005 # Pgs. Spec/Abst: 40/1 #Claims: 25

Dwg. Sheets: 15 Decl No Prelim Amdt Yes

IDS/Prior Art: Yes Pr Doc: No Asgmt: No Fee: \$1300.00

PCT/ISA/210, Article 11 Amendments, PCT/ISA/210

☒ Check Attached ☐ Charge to Deposit # 19-4880 Atty/Sec: DM/cma

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q97149

Masakazu OGASAWARA

Appln. No.: 10/594,922

Group Art Unit: unknown

Confirmation No.: unknown

Examiner: unknown

Filed: September 29, 2006

For: HOLOGRAM RECORDING CARRIER AND RECORDING AND REPRODUCTION METHOD
AND APPARATUS THEREFOR

SUBMISSION OF EXECUTED DECLARATION

MAIL STOP PCT

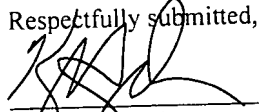
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In view of the application filed on September 29, 2006 without the appropriate executed documents, and since Applicant's attorney has not yet received the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)," for the above application, Applicant submits herewith a copy of the Declaration for the above identified application properly executed by the inventors.

A check for the statutory fee of \$130.00 is attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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Date: December 12, 2006